



**On approval of the Rules for the provision of state service "Conclusion of the authorized body of the member states of the Eurasian Economic Union on the transit of hazardous waste through the customs territory of the Eurasian Economic Union"**

*Unofficial translation*

Order of the Acting Minister of Ecology, Geology and Natural Resources of the Republic of Kazakhstan dated June 15, 2020 № 145. Registered with the Ministry of Justice of the Republic of Kazakhstan on June 19, 2020 № 20875.

**Unofficial translation**

In accordance with subclause 1) of Article 10 of the Law of the Republic of Kazakhstan "On state services" **I HEREBY ORDER:**

**Footnote. The preamble as amended by the order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 26.07.2024 № 174 (shall be enforced upon expiry of ten calendar days after the day of their first official publication).**

1. To approve the attached Rules for the provision of state service "Conclusion of the authorized body of the member states of the Eurasian Economic Union on the transit of hazardous waste through the customs territory of the Eurasian Economic Union".

2. To recognize as invalid:

1) order of the Minister of Energy of the Republic of Kazakhstan dated December 27, 2017 № 478 "On approval of the standard of the state service "Conclusion of the authorized body of the member states of the Eurasian Economic Union on the transit of hazardous waste through the customs territory of the Eurasian Economic Union" (registered with the Register of State Registration of Regulatory Legal Acts as № 16301, published on February 21, 2018 in the Reference Control Bank of Regulatory Legal Acts of the Republic of Kazakhstan);

2) order of the Minister of Energy of the Republic of Kazakhstan dated April 9, 2018 № 116 "On approval of the Regulation of the state service "Conclusion of the authorized body of the member states of the Eurasian Economic Union on the transit of hazardous waste through the customs territory of the Eurasian Economic Union" (registered with the Register of State Registration of Regulatory Legal Acts as № 16837, published on May 5, 2018 in the Reference Control Bank of Regulatory Legal Acts of the Republic of Kazakhstan).

3. The Department of State Policy in Waste Management of the Ministry of Ecology, Geology, and Natural Resources of the Republic of Kazakhstan in accordance with the procedure, established by the legislation of the Republic of Kazakhstan, shall ensure:

1) state registration of this order with the Ministry of Justice of the Republic of Kazakhstan;

2) placement of this order on the Internet resource of the Ministry of Ecology, Geology and Natural Resources of the Republic of Kazakhstan;

3) within ten working days after state registration of this order with the Ministry of Justice of the Republic of Kazakhstan, submission to the Legal Department of the Ministry of Ecology, Geology and Natural Resources of the Republic of Kazakhstan of information about implementation of measures stipulated by subclauses 1) and 2) of this clause.

4. Control over execution of this order shall be entrusted to the supervising Vice-Minister of Ecology, Geology and Natural Resources of the Republic of Kazakhstan.

5. This order shall come into force upon expiry of twenty-one calendar day after the date of its first official publication.

*Acting Minister*

*S. Brekeshev*

"AGREED"

Ministry of National Economy  
of the Republic of Kazakhstan

"AGREED"

Ministry of Digital Development,  
Innovations and Aerospace Industry  
of the Republic of Kazakhstan

Approved by the order  
of the Acting Minister of Ecology,  
Geology and Natural Resources  
of the Republic of Kazakhstan  
dated June 15, 2020 № 145

## **Rules**

**for the provision of state service "Conclusion of the authorized body of the member states of the Eurasian Economic Union on the transit of hazardous waste through the customs territory of the Eurasian Economic Union"**

**Footnote. The Rules as amended by the order of the Minister of Ecology, Geology and Natural Resources of the Republic of Kazakhstan dated 05.05.2021 № 128 (shall be enforced upon expiry of sixty calendar days after the day of their first official publication).**

## **Chapter 1. General Provisions**

1. Rules for the provision of state service "Conclusion of the authorized body of the member states of the Eurasian Economic Union on the transit of hazardous waste through the customs territory of the Eurasian Economic Union" (hereinafter referred to as the Rules), have been developed in accordance with subclause 1) of Article 10 of the Law of the Republic of Kazakhstan "On state services" (hereinafter referred to as the Law) and shall determine the procedure for the provision of state service "Conclusion of the authorized body of the member states of the Eurasian Economic Union on the transit of hazardous waste

through the customs territory of the Eurasian Economic Union" (hereinafter referred to as the state service).

**Footnote. Paragraph 1 as amended by the order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 26.07.2024 № 174 (shall be enforced upon expiry of ten calendar days after the day of their first official publication).**

2. The state service shall be provided by the Ministry of Ecology and Natural Resources of the Republic of Kazakhstan (hereinafter referred to as the service provider).

**Footnote. Paragraph 2 as amended by the order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 26.07.2024 № 174 (shall be enforced upon expiry of ten calendar days after the day of their first official publication).**

3. The service shall be provided to individuals and (or) legal entities (hereinafter referred to as the service recipient).

## **Chapter 2. Procedure for the provision of the state service**

4. To obtain the state service, the service recipient shall submit to the service provider an application on the transit of waste through the State Corporation "Government for citizens" (hereinafter referred to as the State Corporation) or web-portal of "electronic government" [www.egov.kz](http://www.egov.kz) (hereinafter referred to as the portal) in the form according to Appendix 1 to these Rules.

The list of documents, required for the provision of the state service when applying: to the State Corporation (on paper media):

1) an identity document, or an electronic document from the service of electronic documents (for identification purposes) (or its representative by power of attorney) and an application on the transit of waste in the form according to Appendix 1 to these Rules;

2) a copy of the contract (agreement) for the purchase and sale of waste or other alienation agreement between the participants in a foreign trade transaction;

3) a copy of the agreement between the exporter and the manufacturer or the importer and the consumer of the goods, if the applicant acts as an intermediary;

4) consent in writing of the competent authority of the state into whose territory waste is imported in accordance with Article 6 of the Law of the Republic of Kazakhstan of February 10, 2003 "On Accession of the Republic of Kazakhstan to the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal" (hereinafter referred to as the Basel Convention);

5) copies of the contract (agreement) for transportation and the contract between the exporter and the person responsible for the disposal of waste, which stipulates the environmentally sound use of this waste;

6) an electronic copy of the document on the transportation of waste in the form according to Appendix 2 to these Rules;

- 7) notification of the conclusion of an agreement on compulsory environmental insurance of the applicant (exporter, producer of waste) or importer (consumer) of waste;
- 8) a copy of the emergency response plan;
- 9) passport of hazardous waste;
- 10) notification of the transboundary movement of hazardous wastes (in 3 copies) in the form according to Appendix 3 to these Rules.

To the portal:

- 1) an application in the form of an electronic document certified by an electronic digital signature (hereinafter referred to as the EDS) of the service recipient in the form according to Appendix 1 to these Rules;
- 2) an electronic copy of the contract (agreement) for the purchase and sale of waste or an alienation agreement between the participants in a foreign trade transaction;
- 3) an electronic copy of the agreement between the exporter and the manufacturer or the importer and the consumer of the goods, if the applicant acts as an intermediary;
- 4) an electronic copy of the competent authority of the state into whose territory waste is imported;
- 5) an electronic copy of the contract (agreement) for transportation and the contract between the exporter and the person responsible for the disposal of waste, which stipulates the environmentally sound use of this waste;
- 6) an electronic copy of the document on the transport of waste in the form according to Appendix 2 to this standard of the state service;
- 7) an electronic copy insurance policy for compulsory environmental insurance;
- 8) an electronic copy of the emergency response plan;
- 9) an electronic copy of the passport of hazardous waste;
- 10) an electronic copy of the notification of the transboundary movement of hazardous wastes in the form according to Appendix 3 to these Rules.

Information on identity documents, on state registration (re-registration) of a legal entity, the service provider and the employee of the State Corporation (for transfer to the service provider) shall receive from the relevant information systems through the gateway of "electronic government".

The list of basic requirements for the provision of the state service "Conclusion of the authorized body of the member states of the Eurasian Economic Union on the transit of hazardous waste through the customs territory of the Eurasian Economic Union" is set forth in Appendix 4 to these Rules.

When submitting an application, the service recipient gives consent for the use of information constituting a secret protected by law, contained in information systems, when providing state services, unless otherwise provided by the laws of the Republic of Kazakhstan

When the service recipient submits all necessary documents:

To the State Corporation – by confirmation of acceptance of the application on paper is a receipt for the receipt of the relevant documents;

information on the status of consideration of a request for the provision of the state service, as well as a notification indicating the date and time of receipt of the result of the state service shall be sent to the “personal account” through the portal to the service recipient.

**Footnote. Paragraph 4 as amended by the order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 26.07.2024 № 174 (shall be enforced upon expiry of ten calendar days after the day of their first official publication).**

5. In cases where the service recipient submits an incomplete package of documents according to the list specified in clause 4 of these Rules, and (or) expired documents, the employee of the State Corporation shall refuse to accept the application and issues a receipt on refusal to accept the application in the form according to Appendix 5 to these Rules.

The day of applying by the service recipient to the State Corporation is not included in the period for the provision of the state service.

The State Corporation shall carry out preparation on the date of their receipt and ensures sending the documents to the service provider.

6. On the day of receipt of documents, the service provider accepts and registers them.

When applying by the service recipient after the end of working hours, on weekends and holidays, the acceptance of applications and the issuance of the results of the provision of state services shall be carried out on the next working day.

7. The Service Provider, within 2 (two) working days from the date of receipt of the documents of the service recipient, checks the submitted documents for completeness.

When applying through the portal, upon establishing the fact of incompleteness of the submitted documents in accordance with the list specified in clause 4 of these Rules, and (or) expired documents, the service provider within the specified period prepares a substantiated refusal to further consider the application in the form of an electronic document signed by the EDS of the authorized person service provider and sends to the applicant to the personal account of the portal.

When the service recipient provides a complete package of documents, within 8 (eight) working days, the employee of the responsible division of the service provider examines the documents for compliance with the requirements established by Article 6 of the Basel Convention, Chapter 2 of the Rules for the import into the territory of the Republic of Kazakhstan, export from the territory of the Republic of Kazakhstan and transit of hazardous and other waste through the territory of the Republic of Kazakhstan, approved by the resolution of the Government of the Republic of Kazakhstan dated March 17, 2022 № 135, Appendices 1.2 and 2.3 of the Decision of the Board of the Eurasian Economic Commission dated April 21, 2015 "On measures of non-tariff regulation" (hereinafter referred to as the Decision of the Board of the EEC), Article 346 of the Environmental Code of the Republic of Kazakhstan dated January 2, 2021 (hereinafter referred to as the Code), these Rules, based on

the results of which shall form a conclusion in the form according to Appendix 6 to these Rules, or a substantiated refusal to provide the state service.

**Footnote. Paragraph 7 as amended by the order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 26.07.2024 № 174 (shall be enforced upon expiry of ten calendar days after the day of their first official publication).**

8. The grounds for the refusal to provide the state service shall be:

1) establishment of the unreliability of the documents provided by the service recipient for receiving the state service, and (or) the data (information) contained therein;

2) non-compliance of the service recipient and (or) the materials, objects, data and information required for the provision of state the service with the requirements established by Article 6 of the Basel Convention, sections 2 and 3 of the Rules for import, export and transit, Appendices 1.2 and 2.3 of the Decision of the Boards of the EEC, Article 346 of the Code, these Rules;

3) there is a court decision in relation to the service recipient that has entered into legal force, on the basis of which the service recipient is deprived of a special right related to the receipt of the state service;

4) there is a court decision (verdict) in relation to the service recipient, that has entered into legal force on the prohibition of activities or certain types of activities requiring the receipt of the state service.

**Footnote. Paragraph 8 as amended by the order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 26.07.2024 № 174 (shall be enforced upon expiry of ten calendar days after the day of their first official publication).**

9. Issuance of the result of provision of the state service by the State Corporation shall be carried out upon presentation of an identity document or an electronic document from the service of electronic documents (for identification purposes) of the service recipient (or its representative by power of attorney).

**Footnote. Paragraph 9 as amended by the order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 26.07.2024 № 174 (shall be enforced upon expiry of ten calendar days after the day of their first official publication).**

10. The state corporation ensures the storage of finished documents for one month, after which it transfers them to the service provider for further storage. When the service recipient applies after one month, at the request of the State Corporation, the service provider within one working day sends ready-made documents to the State Corporation for issuance to the service recipient.

11. The service provider ensures entering the data on the stage of provision of the state service to the information system of monitoring of provision of state services, in accordance with the procedure, established by the authorized body in the field of informatization according to subclause 11) of clause 2 of Article 5 of the Law.

11-1. The authorized body in the field of environmental protection of the Republic of Kazakhstan shall, within three working days from the date of approval of the normative legal act on introduction of amendments and (or) additions to these Rules, send information about the amendments and (or) additions to the service provider, the operator of information and communication infrastructure of “electronic government” and to the Unified Contact Center.

**Footnote. Chapter 2 as supplemented with paragraph 11-1 in accordance with the order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 26.07.2024 № 174 (shall be enforced upon expiry of ten calendar days after the day of their first official publication).**

### **Chapter 3. The procedure for appealing decisions, actions (inaction) of the service provider and (or) its officials, the State Corporation and (or) its employees on the provision of state services**

12. For the purposes of appealing the decisions, actions (inaction) of the service provider and (or) its officials, concerning the issues of provision of state services, the service recipient shall be entitled at his own discretion to file a claim with the court in accordance with subclause 6) of clause 1 of Article 4 of the Law and (or) a complaint against the management of the service provider at the address specified in clause 7 of 7 Appendix 4 to these Rules.

A complaint of the service recipient, received to the address of the service provider, in accordance with clause 2 of Article 25 of the Law is subject to consideration within 5 (five) working days from the date of its registration.

The complaint of the service recipient, received by the authorized body for the assessment and control of the quality of the provision of state services, is subject to consideration within 15 (fifteen) working days from the date of its registration.

12-1. To appeal decisions, actions (inaction) of the service provider and (or) its officials on issues of rendering state services in accordance with Article 92 of the Administrative Procedural and Process-Related Code of the Republic of Kazakhstan (hereinafter - APPRC) the complaint shall be filed not later than 3 (three) months from the day when the service recipient became aware of the adoption of an administrative act or commission of actions (inaction) by the service provider:

- 1) to the body considering the complaint (higher administrative body and (or) official);
- 2) addressed to the management of the service provider at the address specified in item 7 of Appendix 4 to these Rules.

Complaint of a service recipient in accordance paragraph 2 of Article 25 of the Law is subject to consideration:

- 1) by the service provider directly rendering the state service within 5 (five) working days from the day of its registration;
- 2) by the authorized body for evaluation and control over the quality of state service provision within 15 (fifteen) working days from the date of its registration.

The term of consideration of the complaint by the service provider, the authorized body for evaluation and control over the quality of rendering state services in accordance with paragraph 4 of Article 25 of the Law shall be extended for no more than 10 (ten) working days in cases of necessity:

1) to conduct additional study or inspection on the complaint or inspection with on-site visit;

2) obtaining additional information.

In case of receipt of the service recipient's complaint in accordance with paragraph 4 of Article 91 of the APPRC, the service provider shall send the complaint to the body considering the complaint within 3 (three) working days from the day of its receipt. The complaint by the service provider shall not be sent to the body considering the complaint in case of adoption of a favorable act, performance of an administrative action, fully satisfying the requirements specified in the complaint.

The term of consideration of the complaint by the body considering the complaint shall be 20 (twenty) working days from the date of receipt of the complaint.

In case of disagreement with the decision of the body considering the complaint, the service recipient shall apply to another body considering the complaint or to the court in accordance with paragraph 6 of Article 100 of the APPRC.

**Footnote. Chapter 3 as supplemented with paragraph 12-1 in accordance with the order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 26.07.2024 № 174 (shall be enforced upon expiry of ten calendar days after the day of their first official publication).**

13. The procedure for appealing the decision, actions (inaction) of the State Corporation and (or) its employees, taking into account the recommendation, in accordance with clause 12 of these Rules.

Appendix 1

to the Rules for the provision of state service "Conclusion of the authorized body of the member states of the Eurasian Economic Union on the transit of hazardous waste through the customs territory of the Eurasian Economic Union"

Form

to the Ministry of Ecology,  
Geology and Natural Resources  
of the Republic of Kazakhstan  
from \_\_\_\_\_

(Full name (if any),

it is necessary to indicate the name  
in the event of submission by a  
legal entity)

IIN/BIN \_\_\_\_\_  
address: \_\_\_\_\_  
phone \_\_\_\_\_

## Application on waste transit

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**(Full name (if any) or the full name of the legal entity, position  
surname, name, patronymic (if any) of the head or a representative)**

Represented by \_\_\_\_\_

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Hereby request to provide the state service "Conclusion of the authorized body of the member states of the Eurasian Economic Union on the transit of hazardous waste through the customs territory of the Eurasian Economic Union".

I agree to the use of information constituting a secret protected by law contained in information systems.

Date: " \_\_ " \_\_\_\_\_ 20\_\_ Signature: \_\_\_\_\_

seal

Note:

Full name (if any) – surname, name, patronymic (if any)

seal – Place for the seal (except for persons, who are private entrepreneurs)

Appendix 2  
to the Rules for the provision of  
state service "Conclusion of the  
authorized body of the member  
states of the Eurasian Economic  
Union on the transit of hazardous  
waste through the customs territory  
of the Eurasian Economic Union"

Form

## Document on the transportation of waste

1. a) Exporter (name, address):		4. a) according to		5. Supply Serial №	
Contact person:	Phone:	notification №			

	Fax:	4. b) transportation under (2)	A single-time notification	<input type="checkbox"/>
			General notification	<input type="checkbox"/>
6. Facility for placement / use (name, address)				
1. b) manufacturer of waste (name, address) (1):		Contact person	Phone:	
Method of production			Fax:	
Contact person:	Phone: Fax:	7. Methods of placement / use		
Facility, where the waste was generated:		Code D: (3)		
		Code R: (3)		
2. Importer (name, address):		Employed technology		
Contact person:	Phone:			
Please contact:	Fax:			
3. Person that is responsible for waste disposal (name, address):		(indicate details, if necessary)		
8. Insurance Information: (on the applicable insurance claims and how they are met by the exporter, carrier and the person responsible for disposal)		Details of the insurance contract and insurance policy:		
9. 1-st carrier (name, address)		10. 2-nd carrier (name, address) (4):		11. Last carrier (name, address)
Phone:	Fax:	Phone:	Fax:	Phone:
				Fax:
12. Type of transportation (3):		13. Type of transportation (3):		14. Type of transportation (3):
Date/transshipment point:		Date/transshipment point:		Date/transshipment point:
Signature of the representative of the carrier		Signature of the representative of the carrier		Signature of the representative of the carrier
15. Name and chemical composition of waste			16. Physical properties (3)	
			17. Actual quantity kg	18. Package type(s) (3):
19. Waste identification code				
		IWIC:		1
in the country of export		EWC:		
in the country of import		other (specify)		
Customs code			21. UN classification:	
			UN cargo name:	
20. OECD classification (2) red <input type="checkbox"/>			UN number:	UN class (3):
yellow <input type="checkbox"/>				
				Number H (3):
green <input type="checkbox"/>		and number		

(give details)				Number Y:
22. Special handling requirements	23. Exporter's (Manufacturer's) Declaration: I confirm that the information in columns 1 - 9 and 13 - 21 above is complete and true as far as I know. I also confirm that contractual obligations have been established that are legally binding and committed in writing, that there are appropriate guarantees covering the transboundary movement of wastes, and that no objections have been received from the competent authorities of all interested states that are parties to the Basel Convention.			
24. Actual date of dispatch				
	Date:		Signature:	
	Name:			
To be completed by the consignee/facility for placement/use				
25. Delivery is received by the recipient (if it is not the facility of placement / use:			27. I confirm that the disposal / use of the waste described above has been performed.	
Received quantity:	kg(l)	accepted	<input type="checkbox"/>	
Date:		rejected (5)	<input type="checkbox"/>	Date:
Name:	Signature			
				Name:
26. The delivery was received at the facility of placement/use:				
Received quantity:	kg(l)	Accepted (5)	<input type="checkbox"/>	Signature and seal:
Date:		rejected	<input type="checkbox"/>	
Name:	Signature			
Approximate date of placement/use:				
Method of placement/use				
To be filled in by the person responsible for disposal of waste				
28. Delivery has been received by the person responsible of waste disposal:			29. I confirm that the disposal of waste described above will be performed:	
Received quantity:	kg(l)	Accepted:	<input type="checkbox"/>	
Date:		Rejected (5)	<input type="checkbox"/>	Date:
Name:	Signature			
				Name:
30. Delivery was received at waste disposal facility:				
Received quantity:	kg(l)	accepted (5)	<input type="checkbox"/>	Signature and seal:
Date:		rejected	<input type="checkbox"/>	
Name:	Signature			
Approximate date of disposal:			Actual location of the place of disposal:	
Method of disposal:				

**Note:**

Seal – Place for the seal (except for persons, who are private entrepreneurs)

Full name (if any) – surname, name, patronymic (if any)

CUCC FEA code– Code of the Customs Union Commodity Classification of Foreign Economic Activity of Eurasian

Phone – telephone

Fax – telephone - fax

1-st carrier – first carrier

2-nd carrier – second carrier

OECD – Organization for Economic Co-operation and Development

IWIC – International Waste Identification Code

EWC – European Waste Catalogue

OECD classification – Classification of the Organization for Economic Co-operation and Development

UN number – Number of the United Nations

UN class – Classification of the United Nations

kg(l) – kilogram (litre)

Number Y, number H – Code of the waste type according to classification

Code D – operations on disposal

Code R – Operations that may lead to recovery, recycling, disposal, direct reuse or alternative use

Appendix 3  
to the Rules for the provision of  
state service "Conclusion of the  
authorized body of the member  
states of the Eurasian Economic  
Union on the transit of hazardous  
waste through the customs territory  
of the Eurasian Economic Union"  
Form

### Notification of the transboundary movement of hazardous wastes

1. Exporter (name, address):		4. Notification regarding (1):		No notification	
Contact person:	Phone:	A. a) a single transportation <input type="checkbox"/>		B. c) placement <input type="checkbox"/>	
	Fax:	b) general notification (multiple transportation)		d) use <input type="checkbox"/>	
Reason for export:		B. Facility, for which permission was previously obtained			
2. Importer (name, address):					
Contact person:	Phone: Fax:	5. Total planned quantity of deliveries:	6. Expected waste quantity (3): kg(l)		
		7. Expected dates or periods of delivery (abt):			
3. Person that is responsible for waste disposal (name, address) (2):					
Contact person:	Phone: Fax:				

8. Expected carriers (name, address) (2):		9. Facility for placement/use (name, address):	
Contact person:		Phone:	Phone:
		Fax:	Fax:
11. Manufacturer(s) of waste (name, address) (2):		Actual waste management facility	
		10. Methods of placement/use (2)	
Contact person:	Phone: Fax:	Code D/Code R (4): Employed technology:	
		(Give details, if necessary)	
12. Information about the concluded contract between the exporter and the person responsible for disposal		13. Information (including a technical description of the enterprise) sent to the exporter or manufacturer by the person responsible for disposal, on the basis of which the latter concludes that the intended disposal can be carried out in an environmentally sound manner and in accordance with the rules and regulations of the country of import:	
Facility, where the waste was generated		14. Type(s) of transportation (4):	15. Type(s) of packaging (4):
		16. Insurance information: (on the relevant insurance claims and how they are met by the exporter, carrier and the person responsible for the disposal)	Details of the insurance contract and insurance policy
17. a) Name and chemical composition of waste	17. b) Special handling requirements :	18. Physical characteristics (4):	
19. Waste Identification Code in the country of export:	IWIC:	21. Number Y (4):	
in the country of import:	EWC:	22. Number H (4)	
Customs code:	Other (specify)		
20. OECD classification (1): yellow     red   green	23. a) UN identification:	23. b) UN class (4):	
and number			
(attach a detailed description)			
24. Interested countries. Code number of competent authorities and designated points of entry and exit:			
State of export	States of transit		State of import
25. Customs services of the entry and / or exit point		27. Manufacturer's (exporter's) statement: I confirm that this information is complete and true as far as I know. I also confirm that contractual obligations have been established, legally binding and in writing, and that there are appropriate guarantees covering the transboundary movement of waste.	
Export point:	26. Quantity of appendices		
		Name:	Signature:
		Date:	
To be completed by the competent authorities			
28. To be completed by the competent authority of the country-importer, transit		29. Authorization of the competent authority for transportation:	

Notification received:	Name of the competent authority, seal and signature		
Confirmation is sent:			
Name of the competent authority:	Authorization is valid until:		
Seal and / or signature:	Special conditions:		
	yes		
	no		

Note:

seal – Place for the seal (except for persons, who are private entrepreneurs)

Full name (if any) – surname, name, patronymic (if any)

CUCC FEA code– Code of the Customs Union Commodity Classification of Foreign Economic Activity of Eurasian

Phone – telephone

Fax – telephone - fax

1-st carrier – first carrier

2-nd – second carrier

OECD – Organization for Economic Co-operation and Development

IWIC – International Waste Identification Code

EWC – European Waste Catalogue

OECD classification – Classification of the Organization for Economic Co-operation and Development

UN number – Number of the United Nations

UN class – Classification of the United Nations

kg(l) – kilogram (litre)

Number Y, number H – Code of the waste type according to classification

Code D – operations on disposal

Code R – Operations that may lead to recovery, recycling, disposal, direct reuse or alternative use

Appendix 4

to the Rules for the provision of state service "Conclusion of the authorized body of the member states of the Eurasian Economic Union on the transit of hazardous waste through the customs territory of the Eurasian Economic Union"

**List of basic requirements for the provision of the state service**

**"Conclusion of the authorized body of the member states of the Eurasian Economic Union on the transit of hazardous waste through the customs territory of the Eurasian Economic Union"**

Footnote. Appendix 4 as amended by the order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 26.07.2024 № 174 (shall be enforced upon expiry of ten calendar days after the day of their first official publication).

1	Name of the state service	Conclusion of the authorized body of the member states of the Eurasian Economic Union on the transit of hazardous waste through the customs territory of the Eurasian Economic Union
1-1	Name of the service provider	Ministry of Ecology and Natural Resources of the Republic of Kazakhstan (hereinafter – the service provider)
2	Methods of provision of the state service	Through the State Corporation "Government for citizens" (hereinafter referred to as the State Corporation).
3	Period of provision of the state service	Period of provision of the state service from the time of submission of a package of documents to the State Corporation – 10 (ten) working days.
4	Form of provision of the state service	On paper
5	Result of provision of the state service	Conclusion of the authorized body of the member states of the Eurasian Economic Union on the transit of hazardous waste through the customs territory of the Eurasian Economic Union, or a substantiated refusal to provide the state service. The form of provision of the result of provision of the state service: on paper.
6	The amount of payment charged to the service recipient for the provision of state services, and the methods of its collection in cases provided for by the legislation of the Republic of Kazakhstan	The state service is provided to the service recipients free of charge.
		1) the service provider: from Monday to Friday, in accordance with the established work schedule from 9.00 a.m. to 18.30 p.m., except for weekends and holidays, according to the labor law of the Republic of Kazakhstan, with a break for lunch from 13.00 p.m. to 14.30 p.m.; 2) State Corporation - from Monday to Friday inclusive from 9.00 a.m. to

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Work schedule of the service provider, the State Corporation and the information facilities

6.00 p.m. without a break, except weekends and holidays, on-duty state service departments of the State Corporation from Monday to Friday inclusive from 9.00 a.m. to 8.00 p.m. and on Saturday from 9.00 a.m. to 1.00 p.m. except holidays and weekends according to the Labor Code of the Republic of Kazakhstan. The addresses of the places of rendering the state service are placed on:

- 1) Internet resource of the service provider;
- 2) State Corporation - [www.gov4c.kz](http://www.gov4c.kz);
- 3) portal - round the clock, except for technical breaks related to repair works (when the service recipient applies after working hours, on weekends and holidays, according to the labor legislation of the Republic of Kazakhstan, acceptance of the application and issuance of the result of the state service is carried out on the next working day).).

1. To the State Corporation (on paper):

- 1) an identity document or an electronic document from the digital document service of the service recipient (for identification) (or its representative by power of attorney) and an application for waste transit in the form according to Appendix 1 to the Rules for the provision of the state service “Conclusion of the authorized body of the member states of the Eurasian Economic Union on the transit of hazardous waste through the customs territory of the Eurasian Economic Union”, approved by the order of the Acting Minister of Ecology, Geology and Natural Resources of the Republic of Kazakhstan dated June 15, 2020 № 145 (registered in the Register of state registration of regulatory legal acts under №20875);
- 2) a copy of the contract (agreement) for the purchase and sale of waste or

other alienation agreement between the participants in a foreign trade transaction;

3) a copy of the contract between the exporter and the manufacturer or the importer and the consumer of the goods, if the applicant acts as an intermediary;

4) a written consent of the competent authority of the state on the territory of which the waste is imported in accordance with Article 6 of the Law of the Republic of Kazakhstan dated February 10, 2003 "On Accession of the Republic of Kazakhstan to the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal" (hereinafter referred to as the Basel Convention);

5) copies of the contract (agreement) for transportation and the contract between the exporter and the person responsible for the disposal of waste, which stipulates the environmentally sound use of this waste;

6) document on the transport of waste in the form according to Appendix 2 to the Rules for the provision of the state service "Conclusion of the authorized body of the member states of the Eurasian Economic Union on the transit of hazardous waste through the customs territory of the Eurasian Economic Union", approved by the order of the Acting Minister of Ecology, Geology and Natural Resources of the Republic of Kazakhstan dated June 15, 2020 № 145 (registered in the Register of state registration of regulatory legal acts under №20875);

7) notification on conclusion of an agreement on compulsory environmental insurance of the applicant (exporter, waste producer) or importer (consumer) of waste;

8) a copy of the emergency response plan;

9) passport of hazardous waste;

10) notification of the transboundary movement of hazardous wastes (in 3 copies) in the form according to Appendix 3 to the Rules for the

List of documents and information required from the service recipient for the provision of the state service

provision of the state service “ Conclusion of the authorized body of the member states of the Eurasian Economic Union on the transit of hazardous waste through the customs territory of the Eurasian Economic Union”, approved by the order of the Acting Minister of Ecology, Geology and Natural Resources of the Republic of Kazakhstan dated June 15, 2020 № 145 (registered in the Register of state registration of regulatory legal acts under №20875).

2. To the portal:

1) application in the form of an electronic document certified by the electronic digital signature of the service recipient according to Appendix 1 to the Rules for the provision of the state service “ Conclusion of the authorized body of the member states of the Eurasian Economic Union on the transit of hazardous waste through the customs territory of the Eurasian Economic Union”, approved by the order of the Acting Minister of Ecology, Geology and Natural Resources of the Republic of Kazakhstan dated June 15, 2020 № 145 (registered in the Register of state registration of regulatory legal acts under №20875);

2) electronic copy of the contract ( agreement) of sale of waste or other alienation agreement between the participants of the foreign trade transaction;

3) electronic copy of the contract between exporter and producer or importer and consumer of goods, when the applicant acts as an intermediary;

4) an electronic copy of the written consent of the competent authority of the state, on whose territory the waste is imported in accordance with the Law;

5) electronic copy of the contract ( agreement) for transportation and the contract between the exporter and the person responsible for waste disposal, which stipulates the environmentally safe use of this waste;

6) electronic copy of the waste transportation document in the form according to Appendix 2 to the Rules for the provision of the state service “Conclusion of the authorized body of the member states of the Eurasian Economic Union on the transit of hazardous waste through the customs territory of the Eurasian Economic Union”, approved by the order of the Acting Minister of Ecology, Geology and Natural Resources of the Republic of Kazakhstan dated June 15, 2020 № 145 (registered in the Register of state registration of regulatory legal acts under №20875);

7) electronic copy of the insurance policy for compulsory environmental insurance;

8) electronic copy of the emergency action plan;

9) electronic copy of the hazardous waste passport;

10) electronic copy of the notification on transboundary transportation of hazardous waste in the form according to Appendix 3 to the Rules for the provision of the state service “Conclusion of the authorized body of the member states of the Eurasian Economic Union on the transit of hazardous waste through the customs territory of the Eurasian Economic Union”, approved by the order of the Acting Minister of Ecology, Geology and Natural Resources of the Republic of Kazakhstan dated June 15, 2020 № 145 (registered in the Register of state registration of regulatory legal acts under №20875).

1) establishment of the unreliability of the documents provided by the service recipient for receiving the state service, and (or) the data (information) contained therein;

2) non-compliance of the service recipient and (or) the materials, facilities, data and information required for the provision of the state service with the requirements established by Article 6 of Basel Convention, Chapter 2 of the Rules for import, export and transit of

9	<p>Основания для отказа в оказании государственной услуги, установленные законами Республики Казахстан</p>	<p>wastes, approved by the resolution of the Government of the Republic of Kazakhstan dated March 17, 2022 № 135, Appendices 1.2 and 2.3 of the Decision of the Board of the Eurasian Economic Commission dated April 21, 2015 "On measures of non-tariff regulation", Article 346 of the Environmental Code of the Republic of Kazakhstan dated January 2, 2021, Order of the Acting Minister of Ecology, Geology and Natural Resources of the Republic of Kazakhstan dated June 15, 2020 № 145 " On approval of the Rules for the provision of state service " Conclusion of the authorized body of the member states of the Eurasian Economic Union on the transit of hazardous waste through the customs territory of the Eurasian Economic Union";</p> <p>3) there is a court decision entered into force in respect of the service recipient, on the basis of which the service recipient is deprived of a special right related to the receipt of state service;</p> <p>4) there is a court decision (verdict) entered into legal force in respect of the service recipient on prohibition of activities or certain types of activities requiring the receipt of state service.</p>
10	<p>Other requirements, taking into account the specifics of the provision of state services, including those provided in electronic form and through the State Corporation</p>	<p>1) Addresses of the places of the provision of the state service are placed on the Internet resource of the State Corporation: gov4c.kz.</p> <p>2) The service recipient shall have an opportunity to receive information about the procedure and status of provision of the state Service through the Unified Contact Center 1414, 8 800 080 7777.</p> <p>3) Contact phones of inquiry services on the provision of state services: 8 (7172) 58 00 58, 119 and the Unified Contact Center: 1414, 88000807777.</p> <p>4) For service recipients who have health disorders with persistent disorders of the body's functions</p>

limiting its vital activity, if necessary  
, documents for the provision of state  
services are accepted by an  
employee of the State Corporation  
with a visit to the place of residence,  
by contacting through the Unified  
Contact Center 1414, 8-800-080-  
7777.

Appendix 5

to the Rules for the provision of  
state service "Conclusion of the  
authorized body of the member  
states of the Eurasian Economic  
Union on the transit of hazardous  
waste through the customs territory  
of the Eurasian Economic Union"

Form

**Receipt of the refusal to accept the application**

In accordance with clause 2 of article 20 of the Law of the Republic of Kazakhstan dated April 15, 2013 "On state services", the State Corporation " Government for citizens" (indicate the address) hereby refuses to accept the application for provision of the state service \_\_\_\_\_ due to submission by you of incomplete package of documents according to the list and (or) documents with expired validity period stipulated by the Rules, in particular:

Names of the missing documents:

- 1) \_\_\_\_\_
- 2) \_\_\_\_\_
- 3) \_\_\_\_\_

This receipt was made in 2 copies, one copy for each of the parties.

\_\_\_\_\_  
Full name (if any) signature  
of the employee of the State Corporation

Accepted: \_\_\_\_\_ / \_\_\_\_\_

Full name (if any) / signature of the service recipient

" \_\_\_\_ " \_\_\_\_\_ 20\_\_

Note:

Full name (if any) – surname, name, patronymic (if any)

Appendix 6

to the Rules for the provision of  
state service "Conclusion of the  
authorized body of the member  
states of the Eurasian Economic

**CONCLUSION**

(authorization document) № \_\_\_\_\_ /20 / \_\_\_\_\_

\_\_\_\_\_  
(state government body of a member state of the Eurasian Economic Union, which issued the conclusion)

Issued to \_\_\_\_\_

(name of organization, legal address, country /for individuals surname, name, patronymic (if any)

Type of movement \_\_\_\_\_

(Type of movement)

\_\_\_\_\_ || \_\_\_\_\_

(section of the Unified list of goods)

(Code of the Commodity Nomenclature for Foreign Economic Activities of the Eurasian Economic Union)

Name of goods	Quantity	Measuring unit
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Consignee/Consignor \_\_\_\_\_ (name, legal address, country)

Country of destination / departure \_\_\_\_\_

Purpose of import (export) \_\_\_\_\_

Term for temporary import (export) \_\_\_\_\_

Grounds: \_\_\_\_\_

Additional information \_\_\_\_\_

Country of transit \_\_\_\_\_

(transit in the territory)

	signature _____ date _____
The decision is valid until _____	_____ (Full name (if any)
seal	_____ (position)

Note:

seal – Place for the seal

Full name (if any) – surname, name, patronymic (if any)

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