

## On approval of risk assessment criteria and checklists in protection, reproduction and use of animal world

### *Unofficial translation*

Joint order of Deputy Prime Minister of the Republic of Kazakhstan - Minister of Agriculture of the Republic of Kazakhstan dated December 7, 2018 № 494 and Minister of National Economy of the Republic of Kazakhstan dated December 7, 2018 № 95. Registered in the Ministry of Justice of the Republic of Kazakhstan on December 7, 2018 № 17896.

### Unofficial translation

In accordance with paragraphs 5 and 6 of Article 141 of the Entrepreneurial Code of the Republic of Kazakhstan, **we hereby ORDER:**

Footnote. The preamble - in the wording of the joint order of the acting Minister of Ecology, Geology and Natural Resources of the Republic of Kazakhstan dated 30.11.2022 № 725 and acting Minister of National Economy of the Republic of Kazakhstan dated 30.11.2022 № 108 (shall enter into force from 01.01.2023).

1. To approve:

- 1) the Criteria for assessment of the degree of risk in the field of protection, reproduction, and use of wildlife in accordance with Appendix 1 to this joint order;
- 2) a checklist in the field of protection, reproduction, and use of wildlife in relation to hunting entities in accordance with Appendix 2 to this joint order.

Footnote. Paragraph 1 - in the wording of the joint order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 02.10.2025 № 265 and the Deputy Prime Minister - Minister of National Economy of the Republic of Kazakhstan dated 03.10.2025 № 102 (shall be enforced ten calendar days after its first official publication).

2. Joint order № 18-04/1126 of the Minister of Agriculture of the Republic of Kazakhstan dated December 25, 2015 and № 808 of the Minister of National Economy of the Republic of Kazakhstan dated December 28, 2015 "On Approval Of Risk Assessment Criteria And A Checklist In Protection, Reproduction And Use Of Animal World", (registered in the Register of State Registration of Regulatory Legal Acts under № 12657, published on January 29, 2016 in Adilet Legal Information System), shall be declared to be no longer in force.

3. In accordance with the procedure, established by the legislation, the Committee of Forestry and Fauna of the Ministry of Agriculture, shall:

- 1) ensure state registration of this joint order with the Ministry of Justice of the Republic of Kazakhstan;
- 2) within ten calendar days from the date of state registration of this joint order, send its copies both in Kazakh and Russian languages to the Republican State Enterprise on the Right

of Economic Management "Republican Center of Legal Information of the Ministry of Justice of the Republic of Kazakhstan" for official publication and placement in the Reference Control Bank of the Regulatory Legal Acts of the Republic of Kazakhstan;

3) within ten working days after state registration of this joint order, send a copy hereof for official publication to periodical printed media;

4) place this order on the Internet resource of the Ministry of Agriculture of the Republic of Kazakhstan after its official publication;

5) within ten working days after state registration of this joint order, report to the Department of Legal Services of the Ministry of Agriculture of the Republic of Kazakhstan on performance of activities, stipulated by sub-items 1), 2) 3) and 4) of this item.

4. Control over the execution of this order shall be entrusted to the supervising Vice-Minister of Agriculture of the Republic of Kazakhstan.

5. This joint order shall be put into effect upon expiry of ten calendar days after the day of its first official publication.

*Deputy Prime-Minister  
of the Republic of  
Kazakhstan –Minister  
of Agriculture of the  
Republic of Kazakhstan  
Minister of National  
Economy of the  
Republic of Kazakhstan*

\_\_\_\_\_ *U. Shukeyev*

\_\_\_\_\_ *T. Suleimenov*

"AGREED"

Committee on the Legal Statistics  
and Special Accounts  
of the General Prosecutor's Office  
of the Republic of Kazakhstan

Annex 1  
to joint order № 494  
of the Deputy Prime-Minister  
of the Republic of Kazakhstan –  
Minister of Agriculture of the  
Republic of Kazakhstan  
dated December 7, 2018 and № 95  
of the Minister of National Economy  
of the Republic of Kazakhstan  
dated December 7, 2018

## **Risk assessment criteria in protection, reproduction and use of animal world Chapter 1. General Provisions**

1. This Risk assessment criteria for the protection, reproduction and use of wildlife ( hereinafter referred to as the Criteria) have been developed in accordance with paragraphs 5 and 6 of Article 141 of the Entrepreneurial Code of the Republic of Kazakhstan (hereinafter

referred to as the Code), by order of the Ministry of National Economy of the Republic of Kazakhstan dated July 31, 2018 № 3 "On approval of the form of the checklist" (registered in the register of state registration of regulatory legal acts № 17371), the Rules for the formation by regulatory state bodies of a risk assessment and management system approved by the order of the Ministry of National Economy of the Republic of Kazakhstan dated June 22, 2022 № 48 (registered in the register of state registration of regulatory legal acts № 28577) and shall be a set of quantitative and qualitative indicators related to the direct activities of the subject (object) of control, peculiarities of industry development and factors influencing this development, which shall make it possible to classify the subjects (objects) of control as various degrees of risk.

**Footnote. Paragraph 1 – in the wording of the joint order of the acting Minister of Ecology, Geology and Natural Resources of the Republic of Kazakhstan dated 30.11.2022 № 725 and acting Minister of National Economy of the Republic of Kazakhstan dated 30.11.2022 № 108 (shall enter into force from 01.01.2023).**

2. The following concepts shall be used in these Criteria:

- 1) point – a quantitative measure of risk calculation;
- 2) subjects (objects) of control and supervision – subjects (objects) carrying out activities in the field of protection, reproduction, and use of wildlife;
- 3) minor violations – violations of the requirements established by regulatory legal acts in the field of protection, reproduction, and use of wildlife, as well as violations not classified as gross or significant violations;
- 4) data normalization – a statistical procedure that involves converting values measured on different scales to a conventional common scale;
- 5) significant violations – violation of the requirements established by regulatory legal acts in the field of protection, reproduction, and use of wildlife, in terms of the absence of full houses, failure to fulfill agreements on planning funds, material and technical equipment, reproduction, and science; incomplete and inaccurate information in the data provided by hunting entities confirmed complaints and appeals;
- 6) gross violation – violation of the requirements established by regulatory legal acts in the field of protection, reproduction, and use of wildlife, entailing administrative liability, provided for by the Code of the Republic of Kazakhstan “On Administrative Infractions” (hereinafter referred to as the CoAI), failure to comply with the procedure for creating zoological collections, failure to comply with the established terms of restrictions and prohibitions on the use of wildlife, the occurrence of adverse incidents, the presence of poaching, failure to comply with the acts of state inspectors exercising state control and supervision in the field of protection, reproduction, and use of wildlife;
- 7) risk – the probability of harm being caused as a result of the activities of the subject (object) of control and supervision to the environment, the legitimate interests of individuals

and legal entities, and the property interests of the state, taking into account the severity of its consequences;

8) risk assessment – a set of measures carried out by the control and supervision body with the aim of assigning and conducting preventive control and supervision with visits to the subject (object) of control;

9) objective criteria for assessing the degree of risk (hereinafter referred to as objective criteria) – criteria for assessing the degree of risk used to select subjects (objects) of control and supervision depending on the degree of risk in the field of protection, reproduction, and use of wildlife;

10) subjective criteria for assessing the degree of risk (hereinafter referred to as subjective criteria) – criteria for assessing the degree of risk used to select subjects (objects) of control and supervision depending on the results of the activities of a specific subject (object) of control and supervision;

11) risk assessment criteria – a set of quantitative and qualitative indicators related to the direct activities of the control and supervision entity, the specifics of industry development, and factors influencing this development, allowing control entities (objects) to be classified according to different degrees of risk;

12) risk assessment and management system – the process of making management decisions aimed at reducing the likelihood of adverse factors occurring by distributing control and supervision entities (objects) of control and supervision according to risk levels for subsequent preventive control with visits to the subject (object) of control and supervision in order to minimize restrictions on entrepreneurial freedom, while ensuring an acceptable level of risk in the relevant areas of activity, as well as aimed at changing the level of risk for a specific subject (object) of control and supervision and (or) exempting such subject (object) of control and supervision from preventive control with visits to the subject (object) of control and supervision;

13) checklist – a list of requirements for the activities of entities (objects) subject to control and supervision, failure to comply with which poses a threat to the environment, the legitimate interests of individuals and legal entities, and the state;

14) selective aggregate (sample) – a list of entities (objects) being assessed that belong to a homogeneous group of entities (objects) subject to control in a specific area of state control, in accordance with paragraph 2 of Article 143 of the Code.

**Footnote. Paragraph 2 - in the wording of the joint order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 02.10.2025 № 265 and the Deputy Prime Minister - Minister of National Economy of the Republic of Kazakhstan dated 03.10.2025 № 102 (shall be enforced ten calendar days after its first official publication).**

## **Chapter 2. Objective criteria**

3. Risk management during preventive control with a visit to the subject (object) of control and supervision shall be formed by determining objective and subjective criteria that shall be carried out in stages (Multicriteria analysis of decisions).

Footnote. Paragraph 3 – in the wording of the joint order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 18.05.2023 № 155 and acting Minister of National Economy dated 18.05.2023 № 75 (shall enter into force upon expiry of ten calendar days after the day of its first official publication).

4. Based on objective criteria, entities (objects) subject to control and supervision are divided into three risk groups:

1) high risk – entities (objects) subject to control and supervision engaged in hunting management;

2) medium risk – subjects (objects) of control and supervision engaged in the organization of amateur (sport) hunting;

3) low risk – subjects (objects) of control and supervision engaged in enclosure and semi-enclosure keeping, breeding of wildlife, as well as organizations engaged in teaching citizens the basics of hunting, owners of zoological collections, zoos, biological centers.

Footnote. Paragraph 4 - in the wording of the joint order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 02.10.2025 № 265 and the Deputy Prime Minister - Minister of National Economy of the Republic of Kazakhstan dated 03.10.2025 № 102 (shall be enforced ten calendar days after its first official publication).

5. Once the risk has been determined, the control and supervision entities (entities) shall be divided into three risk grades (high, medium and low).

In relation to subjects (objects) of control and supervision classified as high and medium risk, preventive control shall be carried out with a visit to the subject (object) of control and supervision, preventive control without a visit to the subject (object) of control and supervision and an unscheduled inspection.

Footnote. Paragraph 5 - in the wording of the joint order of the acting Minister of Ecology , Geology and Natural Resources of the Republic of Kazakhstan dated 30.11.2022 № 725 and acting Minister of National Economy of the Republic of Kazakhstan dated 30.11.2022 № 108 (shall enter into force from 01.01.2023).

6. In relation to subjects (objects) of control classified as low risk, preventive control shall be carried out without visiting the subject (object) of control and supervision and an unscheduled inspection.

Footnote. Paragraph 6 - in the wording of the joint order of the acting Minister of Ecology , Geology and Natural Resources of the Republic of Kazakhstan dated 30.11.2022 № 725 and acting Minister of National Economy of the Republic of Kazakhstan dated 30.11.2022 № 108 (shall enter into force from 01.01.2023).

### **Chapter 3. Subjective criteria**

7. Subjective criteria shall be determined based on the results of analysis of information from the following sources:

1) the results of previous inspections and preventive control with a visit to the subject (object) of control and supervision. At the same time, the severity of violations (gross, significant, insignificant) shall be established in case of non-compliance with the requirements of the legislation reflected in the checklists;

2) results of monitoring of reporting and information submitted by the subject (object) of control and supervision;

3) the presence of registered cases of adverse incidents arising through the fault of the subjects (objects) of control. Adverse incidents shall include mass destruction of wildlife and deterioration of their habitat;

4) results of preventive control without visiting the subject (object) of control and supervision (final document issued as a result of preventive control without visiting the subject (object) of control and supervision (recommendation);

5) results of analysis of information submitted by state bodies.

**Footnote. Paragraph 7 – in the wording of the joint order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 18.05.2023 № 155 and acting Minister of National Economy dated 18.05.2023 № 75 (shall enter into force upon expiry of ten calendar days after the day of its first official publication).**

8. The degree of violations of requirements in the field of protection, reproduction, and use of wildlife in relation to hunting entities is determined in accordance with Appendix 1 to these Criteria.

The priority of the sources of information used and the significance of the indicators of subjective criteria are established in accordance with the list of subjective criteria for determining the degree of risk based on subjective criteria in relation to hunting entities in accordance with Appendix 4 to these Criteria.

**Footnote. Paragraph 8 - in the wording of the joint order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 02.10.2025 № 265 and the Deputy Prime Minister - Minister of National Economy of the Republic of Kazakhstan dated 03.10.2025 № 102 (shall be enforced ten calendar days after its first official publication).**

9. According to the risk level indicators, the subject (object) of control and supervision shall include:

1) to a high degree of risk - with a risk degree indicator from 71 to 100 inclusive;

2) to the average risk degree - if the risk degree is from 31 to 70 inclusive;

3) to a low risk degree - with a risk degree indicator from 0 to 30 inclusive.

2) to the average risk degree - if the risk degree is from 31 to 70 inclusive;

3) to a low risk degree - with a risk degree indicator from 0 to 30 inclusive.

**Footnote. Paragraph 9 – in the wording of the joint order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 18.05.2023 № 155 and acting**



Minister of National Economy dated 18.05.2023 № 75 (shall enter into force upon expiry of ten calendar days after the day of its first official publication).

10. If one gross violation is detected, the subject (object) of control and supervision is equal to the risk degree indicator 100 and preventive control shall be carried out in relation to it with a visit to the subject (object) of control.

Footnote. Paragraph 10 – in the wording of the joint order of the acting Minister of Ecology, Geology and Natural Resources of the Republic of Kazakhstan dated 30.11.2022 № 725 and acting Minister of National Economy of the Republic of Kazakhstan dated 30.11.2022 № 108 (shall enter into force from 01.01.2023).

11. If no gross violations have been identified, then to determine the risk level indicator, the total indicator for violations of a significant and insignificant degree is calculated.

To classify the subject of control and supervision as a risk, the following procedure for calculating the risk indicator shall be used.

Calculation of the risk level according to subjective criteria (R) shall be carried out in an automated mode by summing the risk level according to violations based on the results of previous inspections and preventive control with visits to subjects (objects) of control and supervision (SP) and the risk level according to subjective criteria (SC), with subsequent normalization of values of data in the range from 0 to 100 points.

$R_{\text{проп}} = SP + SC$ , where

$R_{\text{проп}}$  – intermediate risk score according to subjective criteria,

SP – risk indicator for violations,

SC – risk level according to subjective criteria determined in accordance with Paragraph 8 of these Criteria.

The calculation shall be made for each subject (object) of control and supervision of a homogeneous group of subjects (objects) of control and supervision of each sphere of state control and supervision. At the same time, the list of assessed subjects (objects) of control and supervision, belonging to a homogeneous group of subjects (objects) of control and supervision of one sphere of state control and supervision, forms a sample set (sample) for the subsequent normalization of data.

According to the data obtained from the results of previous inspections and preventive control with visits to subjects (objects) of control and supervision, a risk indicator for violations shall be formed, estimated in points from 0 to 100.

If one gross violation is detected according to any of the information sources specified in paragraph 7 of these Criteria, the control and supervision subject is equal to a risk level of 100 points and preventive control shall be carried out against him with a visit to the subject (object) of control and supervision.

Footnote. Paragraph 11 – in the wording of the joint order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 18.05.2023 № 155 and acting

Minister of National Economy dated 18.05.2023 № 75 (shall enter into force upon expiry of ten calendar days after the day of its first official publication).

12. In detection of the rate of major violations, the ratio of 0,7 shall apply, and this rate shall be calculated by the formula as follows:

$$\Sigma P_3 = (\Sigma P_2 \times 100 / \Sigma P_1) \times 0,7,$$

where:

$\Sigma P_3$   
– rate of major violations;

$\Sigma P_1$   
– required number of major violations;

$\Sigma P_2$   
– number of detected major violations.

13. When determining the indicator of minor violations, a coefficient of 0.3 is used and this indicator shall be calculated using the following formula:

$$SP_H = (SP_2 \times 100 / SP_1) \times 0,3,$$

where:

$SP_H$  – indicator of minor violations;

$SP_1$  – required number of minor violations;

$SP_2$  – the number of insignificant violations identified.

Footnote. Paragraph 13 – in the wording of the joint order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 18.05.2023 № 155 and acting Minister of National Economy dated 18.05.2023 № 75 (shall enter into force upon expiry of ten calendar days after the day of its first official publication).

14. Overall rate of risk degree (

$\Sigma P$   
) shall be calculated according to the scale from 0 to 100 and shall be determined by adding up the rates of major and minor violations according to the following formula:

$$\Sigma P = \Sigma P_3 + \Sigma P_H,$$

where:

$\Sigma P$



– overall rate of risk degree;

$\Sigma P_{\Xi}$

– rate of major violations;

$\Sigma P_{\Pi}$

– rate of minor violations.

The data of subjective criteria, previously accounted and used with regard to a particular entity (facility) subjected to control shall not apply in risk degree analysis and assessment.

14-1. Calculation of the risk level according to subjective criteria determined in accordance with paragraph 7 of these Criteria shall be carried out on a scale from 0 to 100 points and shall be carried out according to the following formula:

$$SC = \sum_{i=1}^n x_i * w_i, \text{ где}$$

$x_i$  – subjective criterion indicator,

$w_i$  – specific gravity of the subjective criterion indicator  $x_i$ ,

$n$  – number of indicators.

The obtained value of the risk score according to subjective criteria determined in accordance with paragraph 7 of these Criteria shall be included in the calculation of the risk score according to subjective criteria.

The  $R$  values calculated by subjects (objects) shall be normalized in the range from 0 to 100 points. Data normalization shall be carried out for each sample set (sample) using the following formula:

$$R = \frac{R_{\text{нром}} - R_{\text{min}}}{R_{\text{max}} - R_{\text{min}}},$$

$R$  – risk level indicator (final) according to the subjective criteria of an individual subject (object) of control and supervision,

$R_{\text{max}}$  – the maximum possible value on the risk scale according to subjective criteria for subjects (objects) included in one sample set (sample) (upper limit of the scale),

$R_{\text{min}}$  – the minimum possible value on the risk scale according to subjective criteria for subjects (objects) included in one sample population (sample) (lower limit of the scale),

$R_{\text{нром}}$  – an intermediate risk level according to subjective criteria calculated in accordance with paragraph 10 of these Criteria.

Footnote. The criteria as added by the paragraph 14-1 in accordance with the joint order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 18.05.2023 № 155 and acting Minister of National Economy dated 18.05.2023 № 75 (shall enter into force upon expiry of ten calendar days after the day of its first official publication).

15. The basis for the appointment of preventive control with a visit to the subject (object) of control and supervision shall be a semi-annual list of subjects (objects) of control and supervision, approved by the first head of the regulatory state body formed in accordance with paragraph 4 of Article 144-2 of the Code.

Footnote. Paragraph 15 – in the wording of the joint order of the acting Minister of Ecology, Geology and Natural Resources of the Republic of Kazakhstan dated 30.11.2022 № 725 and acting Minister of National Economy of the Republic of Kazakhstan dated 30.11.2022 № 108 (shall enter into force from 01.01.2023).

16. Lists of preventive control with a visit to the subject (object) of control and supervision shall be compiled taking into account their priority with the highest risk score according to subjective criteria.

Footnote. Paragraph 16 – in the wording of the joint order of the acting Minister of Ecology, Geology and Natural Resources of the Republic of Kazakhstan dated 30.11.2022 № 725 and acting Minister of National Economy of the Republic of Kazakhstan dated 30.11.2022 № 108 (shall enter into force from 01.01.2023).

17. The frequency of preventive control with a visit to the subject (object) of control and supervision cannot be more than twice a year.

Footnote. Paragraph 17 – in the wording of the joint order of the acting Minister of Ecology, Geology and Natural Resources of the Republic of Kazakhstan dated 30.11.2022 № 725 and acting Minister of National Economy of the Republic of Kazakhstan dated 30.11.2022 № 108 (shall enter into force from 01.01.2023).

#### **Глава 4. Prompt response measures in the field of wildlife protection, reproduction, and use**

Footnote. The criteria were supplemented with Chapter 4 in accordance with the joint order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 02.10.2025 № 265 and the Deputy Prime Minister - Minister of National Economy of the Republic of Kazakhstan dated 03.10.2025 № 102 (shall be enforced ten calendar days after its first official publication).

18. The prompt response measures applied within the framework of these Criteria include, as part of preventive control with visits to the entity (facility) subject to control and supervision and/or inspection, the suspension of the activities of the entity subject to control and supervision or certain types of its activities.

19. The grounds for applying prompt response measures in the form of suspension of the activities of the control and supervision entity or certain types thereof within the framework of preventive control with visits to the control and supervision entity (facility) and/or

inspections are violations of the requirements for the prevention of the extraction of wildlife in quiet zones and reproduction areas. the suspension period is 60 (sixty) working days.

Annex 1  
to Grade criteria  
risk in the field of security,  
reproduction and use  
animal world

**Degree of violations of requirements in the field of protection, reproduction and use of wildlife in relation to hunting subjects**

Footnote. Annex 1 – in the wording of the joint order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 18.05.2023 № 155 and acting Minister of National Economy dated 18.05.2023 № 75 (shall enter into force upon expiry of ten calendar days after the day of its first official publication).

№	Name of criteria	Degree of impairment
1	Availability of installed full houses	Significant
2	Preventing the extraction of wildlife in dormant areas and reproductive areas	Gross
3	Prevention of illegal hunting with significant damage to wildlife in hunting farms	Gross
4	Complete and reliable information in accounting for the number of species of animals that are objects of hunting	Significant
5	Complete and reliable information on anti-poaching	Significant
6	Prevention of the presence of registered adverse incidents arising through the fault of the subjects (objects) of control, expressed in the form of a reduction in the number of wildlife objects and deterioration of their habitat, harm as a result of activities to the animal world, environmental degradation and violation of biological balance in hunting grounds	Gross
7	Implementation of the recommendation of preventive control without visiting the subject (object) of control and supervision	Gross
8	Prevention of violation of the requirements for the use of wildlife and hunting rules by hunting entities (Article 382 of the Code of Administrative Offences)	Significant

9	Prevention of violation of the rules of hunting by the subjects of hunting (Article 385 of the Code of Administrative Offences)	Significant
10	Prevention of illegal acquisition, sale, transportation, import, export, storage (maintenance) of species of wild animals and plants, their parts or derivatives by hunting entities (Article 389 of the Code of Administrative Offences)	Significant
11	Prevention of violation of the procedure for issuing and using permits for the use of wildlife by hunting entities (Article 390 of the Code of Administrative Offences)	Significant

Annex 2  
to Grade criteria  
risk in the field of security,  
reproduction and use  
of animal world

#### **Degree of violations of requirements in the field of protection, reproduction and use of wildlife in relation to fisheries**

Footnote. Appendix 2 was excluded by the joint order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 02.10.2025 № 265 and the Deputy Prime Minister - Minister of National Economy of the Republic of Kazakhstan dated 03.10.2025 № 102 (shall be enforced ten calendar days after its first official publication).

Annex 3  
to Grade criteria  
risk in the field of security,  
reproduction and use  
of animal world

#### **Degree of violations of requirements in the field of protection, reproduction and use of wildlife in relation to the activities of water management organizations and water use entities**

Footnote. Appendix 3 was excluded by the joint order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 02.10.2025 № 265 and the Deputy Prime Minister - Minister of National Economy of the Republic of Kazakhstan dated 03.10.2025 № 102 (shall be enforced ten calendar days after its first official publication).

Annex 4  
to Grade criteria  
risk in the field of security,  
reproduction and use  
of animal world

**List of subjective criteria for determining the degree of risk based on subjective criteria in the field of protection, reproduction, and use of wildlife in accordance with subparagraph 22) of Article 138 of the Entrepreneurial Code of the Republic of Kazakhstan in relation to the activities of hunting entities**

Footnote. The criteria as added by Annex 4 in accordance with the joint order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 18.05.2023 № 155 and acting Minister of National Economy dated 18.05.2023 № 75 (shall enter into force upon expiry of ten calendar days after the day of its first official publication); in the wording of the joint order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 02.10.2025 № 265 and the Deputy Prime Minister - Minister of National Economy of the Republic of Kazakhstan dated 03.10.2025 № 102 (shall be enforced ten calendar days after its first official publication).

Item №	Subjective criterion indicator	Source of information on the subjective criterion indicator	Specific weight by importance, points (in total should not exceed 100 points), $w_i$	Conditions /values, $x_i$		
				condition1/ value	condition2/ value	condition3/ value
1	2	3	4	5	6	7
For preventive control with a visit						
1	Late and/or poor quality reporting	Results of monitoring of reports and information submitted by the subject of control and supervision	40	0	1	2
				0	100	-
2	The number of cases of administrative liability under Articles 382, 385, 389, 390 (Part 2) of the CoAI RK	Availability of adverse events that arose due to the fault of the subject of control and supervision	60	0	1-5	6+
				0	50	100
	Availability of information on failure to implement within the established timeframe	Results of preventive control without visiting the subject (object) of control and supervision (final	Inclusion in the semi-annual list of preventive control and	0	1	-

3	recommendations for eliminating violations identified as a result of monitoring without a visit	documents issued based on the results of preventive control without visiting the subject (object) of control and supervision)	supervision with a visit to the subject (object) of control and supervision	0	100	-
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Annex 5  
to Grade criteria  
risk in the field of security,  
reproduction and use  
of animal world

## List

**of subjective criteria for determining the degree of risk according to subjective criteria in the field of protection, reproduction and use of wildlife in accordance with subparagraph 22) of Article 138 of the Entrepreneurial Code of the Republic of Kazakhstan in relation to the activities of fisheries**

Footnote. The criteria as added by Annex 5 in accordance with the joint order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 18.05.2023 № 155 and acting Minister of National Economy dated 18.05.2023 № 75 (shall enter into force upon expiry of ten calendar days after the day of its first official publication); was excluded by the joint order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 02.10.2025 № 265 and the Deputy Prime Minister - Minister of National Economy of the Republic of Kazakhstan dated 03.10.2025 № 102 (shall be enforced ten calendar days after its first official publication).

Annex 2  
to the joint order  
of the Deputy Prime Minister  
of the Republic of Kazakhstan  
of the Minister of Agriculture  
of the Republic of Kazakhstan  
dated December 7, 2018 № 494  
and Minister of National Economy  
of the Republic of Kazakhstan  
dated December 7, 2018 № 95

Footnote. Annex 2 – in the wording of the joint order of Acting Minister of Ecology, Geology and Natural Resources of the Republic of Kazakhstan dated 30.11.2022 № 725 and Acting Minister of National Economy of the Republic of Kazakhstan dated 30.11.2022 № 108 (shall enter into force from 01.01.2023).

**Checklist in the field of protection, reproduction and use of wildlife in relation to hunting subjects**

State authority that appointed the inspection/preventive control



with a visit to the subject of control and supervision

Act of appointment of inspection and preventive control with a visit to the subject  
(object) of control and supervision

(№, date)

Name of the subject (object) of control and supervision

(individual identification number, business identification number  
subject (object) of control and supervision)

Address

№ r/n	Data requirements list	conforms to requirements	Does not meet the requirements
1	2	3	4
1	Availability of installed full houses		
2	Preventing the extraction of wildlife in dormant areas and reproductive areas		
3	Presence of illegal hunting with significant damage to wildlife in hunting farms		

Officials (s)

(position) (signature)

(surname, first name, patronymic (if any))

Head of the subject (object) of control and supervision

(position) (signature)

(surname, first name, patronymic (if any))

Annex 3  
to the joint order  
of the Deputy Prime Minister  
of the Republic of Kazakhstan  
of the Minister of Agriculture  
of the Republic of Kazakhstan  
dated December 7, 2018 № 494  
and Minister of National Economy

of the Republic of Kazakhstan  
dated December 7, 2018 № 95

Footnote. Appendix 3 was excluded by the joint order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 02.10.2025 № 265 and the Deputy Prime Minister - Minister of National Economy of the Republic of Kazakhstan dated 03.10.2025 № 102 (shall be enforced ten calendar days after its first official publication).

Annex 4  
to the joint order  
of the Deputy Prime Minister  
of the Republic of Kazakhstan  
of the Minister of Agriculture  
of the Republic of Kazakhstan  
dated December 7, 2018 № 494  
and Minister of National Economy  
of the Republic of Kazakhstan  
dated December 7, 2018 № 95

Footnote. Appendix 4 was excluded by the joint order of the Minister of Ecology and Natural Resources of the Republic of Kazakhstan dated 02.10.2025 № 265 and the Deputy Prime Minister - Minister of National Economy of the Republic of Kazakhstan dated 03.10.2025 № 102 (shall be enforced ten calendar days after its first official publication).