

On the approval of Rules of determination of solvency of banknotes and coins of national currency of the Republic of Kazakhstan

Unofficial translation

Resolution of the Board of the National Bank of the Republic of Kazakhstan of November 29, 2017 No. 230. Registered with the Ministry of Justice of the Republic of Kazakhstan on December 26, 2017 No. 16120.

Unofficial translation

Under the Law of the Republic of Kazakhstan “On the National Bank of the Republic of Kazakhstan”, the Board of the National Bank of the Republic of Kazakhstan **HEREBY RESOLVES:**

Footnote. The preamble - as reworded by Resolution of the Board of the National Bank of Kazakhstan № 37 of 05.07.2024 (shall come into effect upon expiry of ten calendar days after the day of its first official publication).

1. To approve Rules of determination of solvency of banknotes and coins of national currency of the Republic of Kazakhstan according to appendix 1 to the present resolution.

2. To recognize become invalid regulations of the Republic of Kazakhstan according to the list according to appendix 2 to the present resolution (are not translated).

3. To management on work with cash (Abisheva T.T.) in the order established by the legislation of the Republic of Kazakhstan to provide:

1) together with Legal department (Sarsenov N.V.) the state registration of the present resolution in the Ministry of Justice of the Republic of Kazakhstan;

2) within ten calendar days from the date of the state registration of the present resolution the direction it the copy in a paper and electronic look in the Kazakh and Russian languages in the Republican state enterprise on the right of economic maintaining "The republican center of legal information" for official publication and inclusion in Reference control bank of regulations of the Republic of Kazakhstan;

3) placement of the present resolution on an official Internet resource of National Bank of the Republic of Kazakhstan after its official publication;

4) within ten working days after the state registration of the present resolution submission to Legal department of data on execution of the actions provided by Subparagraphs 2), 3) of the present point and Paragraph 4 of the present resolution.

4. To management on consumer protection of financial services and external communications (Terentyev A.L.) to provide within ten calendar days after the state registration of the present resolution the direction it to the copy on official publication in periodic printing editions.

5. To impose control of performance of the present resolution on the vice-chairman of National Bank of the Republic of Kazakhstan Galiyeva D.T.

6. The present resolution becomes effective after ten calendar days after day of its first official publication.

Chairman of national Bank

D. Akishev

Appendix 1
to the resolution of Board
National bank
Republic of Kazakhstan
November 29, 2017 № 230

Rules

determination of solvency of banknotes and coins of national currency of the Republic of Kazakhstan

Chapter 1. General provisions

1. These Rules for Defining Payability of Banknotes and Coins of the National Currency of the Republic of Kazakhstan (hereinafter - the Rules) have been developed under the Law of the Republic of Kazakhstan “On the National Bank of the Republic of Kazakhstan”.

Footnote. Paragraph 1 - as revised by Resolution of the Board of the National Bank of Kazakhstan № 37 of 05.07.2024 (shall become effective ten calendar days after the date of its first official publication).

2. The Rules establish the procedure for performing operations to assess the payability of banknotes and coins of the national currency of the Republic of Kazakhstan, excluding coins made of precious metals, and certain operations with them after authenticity and payability assessment by branches of the National Bank of the Republic of Kazakhstan (hereinafter - branches of the National Bank), second-tier banks, branches of non-resident banks of the Republic of Kazakhstan, the National Postal Operator and organisations performing operations on collection of banknotes, coins and valuables (hereinafter referred to as authorised banks), in case of implementation of activities on recounting, sorting, packing, storage of banknotes, coins and valuables.

Footnote. Paragraph 2 - as revised by Resolution of the Board of the National Bank of Kazakhstan № 37 of 05.07.2024 (shall take effect ten calendar days after the date of its first official publication).

Chapter 2. Determination of solvency of the banknotes and coins of national currency of the Republic of Kazakhstan which are valid lawful means of payment

3. Solvency of banknotes and coins of national currency of the Republic of Kazakhstan (further – banknotes and coins) is their ability to act as lawful means of payment in the Republic of Kazakhstan.

4. The original banknotes which did not become invalid for lawful means of payment, are not withdrawn from circulation and withdrawn from circulation, kept not less than 70% (seventy percent) from established by National Bank of the Republic of Kazakhstan (further – National Bank) the sizes of banknotes, and the signs (written or digital) which are designating their face value and having insignificant damages (if they do not interfere with determination of authenticity of banknotes), including shabby belong to payment banknotes.

5. The original coins including defective (damaged), which are lawful means of payment, not withdrawn from circulation and withdrawn from circulation, kept irrespective of the nature of damages written and (or) digital signs on an obverse (face), a reverse (back) or a herd (a side surface) and also both parts of the coins relating to bikolorny (the coin consisting of two and more components made of different metals) belong to payment coins.

6. Original banknotes and coins are the banknotes and coins introduced into circulation by National Bank and which are not counterfeit.

7. Payment banknotes are subdivided on:

- 1) suitable for the address;
- 2) shabby;
- 3) withdrawn from circulation.

8. Payment coins are subdivided on:

- 1) suitable for the address;
- 2) defective (damaged);
- 3) withdrawn from circulation.

9. Banknotes and coins, valid for the address, are the banknotes which do not have the damages specified in Paragraph 10 of Rules and the coins which do not have the damages specified in Paragraph 11 of Rules.

Banknotes, valid for the address, and coins are subdivided into banknotes and coins in issue packing and on the banknotes and coins which are in circulation.

The banknotes sorted on calculating and sorting machines having the anguishes which are not followed by loss of a part of the square of the banknote belong to valid banknotes.

10. Banknotes that have the following damages shall be regarded as dilapidated banknotes :

- 1) flooded with colouring substances;
- 2) with extraneous inscriptions, including:
treated with special chemical powder, which are visible under ultraviolet light;
overlapping machine-readable features;

3) torn banknotes, the glued or unglued parts thereof undoubtedly belong to one and the same banknote and together constitute an area of at least 70% (seventy per cent) of the size of the banknote established by the National Bank;

4) that have lost more than 1% (one per cent) of the area from the corner or edge, but at the same time retained at least 70% (seventy per cent) of the banknote size established by the National Bank;

5) having tears, glued with transparent adhesive tape;

6) having holes, punctures and holes of 3 (three) or more millimetres in diameter, excluding cases when the presence of punctures on the banknote indicates its redemption;

7) having scuffs that have caused loss of the banknote's image;

8) burnt and scorched, but retaining at least 70% (seventy per cent) of the banknote size set by the National Bank;

9) having a contaminated surface of the front and (or) back sides, which led to a decrease in the image and brightness of the banknote. Contamination means the condition of banknotes characterised by darkening of the banknote surface due to the presence of dust, dirt, oils and other contaminants;

10) that have loosened and lost paper stiffness;

11) coloured with special paints used in devices for storage and safe transportation of banknotes.

Footnote. Paragraph 10 - as revised by Resolution of the Board of the National Bank of Kazakhstan № 37 of 05.07.2024 (shall be enacted upon expiration of ten calendar days after the day of its first official publication).

11. The defective (damaged) coins are the coins having the following damages:

1) flattened, made a hole, bent, nadpilenny, broken, shabby (except the coins subjected to machining (corrugation);

2) etched in acid, with we will sing any metals;

3) damaged at influence of high temperature;

4) the written and (or) digital signs which changed the initial color, but kept on an obverse (face), a reverse (back) or a herd (a side surface).

12. The payment old banknotes which came to authorized banks, the defective (damaged) coins are sorted, not released, transferred to branches of National Bank and exchange on the banknotes and coins valid for the address.

13. The banknotes and coins withdrawn from circulation are banknotes and coins of an old sample which are lawful means of payment and being in parallel circulation with banknotes and coins of a new sample.

14. Payment banknotes and coins (except old banknotes and the defective (damaged) coins) in the territory of the Republic of Kazakhstan are accepted for all types of payments and money transfers.

15. Payment old banknotes and the defective (damaged) coins are accepted by authorized banks for all types of payments and money transfers without restrictions.

Chapter 3. Banknotes and coins which are not lawful means of payment in the Republic of Kazakhstan

16. To banknotes and coins, not being lawful means of payment belong:

- 1) the banknotes and coins which became invalid for lawful means of payment;
- 2) counterfeit banknotes and coins;
- 3) not payment banknotes and coins;
- 4) the rejected banknotes and coins.

17. The banknotes and coins which became invalid for lawful means of payment are the banknotes and coins withdrawn from circulation and also the repaid banknotes and corrugated coins.

18. The banknotes and coins withdrawn from circulation are banknotes and coins of an old sample which stopped being lawful means of payment on the basis of the decision of National Bank on retirement.

19. The repaid banknotes are the banknotes subjected to special machining (piercing).

Characteristic of signs of repayment is carried by National Bank to authorized banks.

20. Corrugated coins are the coins subjected to special machining (corrugation).

Characteristic of signs of a corrugation is carried by National Bank to authorized banks.

21. Counterfeit banknotes and coins are the changed banknotes and also banknotes and coins having signs of a fake. The signs specified in Paragraphs 22 and 23 of Rules belong to strong indications of a forgery of banknotes and coins.

22. The changed banknotes are the banknotes introduced into circulation by National Bank, but having stickers, the nadrisovanny or printed texts or figures changing the face value of banknotes. The appearance of the changed banknote is similar to a type of the original banknote of other face value.

23. The banknotes and coins which are not original and the reproducing appearances of original banknotes and coins and also banknotes consisting of several fragments, one of which is counterfeit, belong any way to the banknotes and coins having signs of a fake.

24. Counterfeit banknotes and coins are not subject to exchange, return to the client and are reported to law enforcement agencies of the Republic of Kazakhstan.

25. Banknotes that have lost 50 per cent (fifty per cent) or more of the size set by the National Bank, banknotes with the inscription “ULGI”, “EXAMPLE”, “SPECIMEN”, “MOULAGE”, as well as banknotes that have fragments of genuine banknotes and have cuts shall be deemed non-payable.

Footnote. Paragraph 25 - as revised by Resolution of the Board of the National Bank of Kazakhstan № 37 of 05.07.2024 (shall come into effect ten calendar days after the date of its first official publication).

26. The coins which did not keep the written and (or) digital signs on an obverse (face), a reverse (back) or a herd (a side surface) and also having the inscription "MODEL" are not payment.

27. Banknotes and coins at which production the visible deviation from specifications is allowed (discrepancy of the size, anguishes, discrepancy of numbering, lack of the graphic representation (one and more), existence of blots, combination of paints, combination and (or) the indistinct image of stamping) are rejected. Banknotes and coins are recognized rejected after conducting examination of National Bank.

28. The banknotes and coins which are not lawful means of payment are not subject to reception for implementation of payments and money transfers.

Chapter 4. Implementation of operations on determination of solvency of banknotes and coins and separate operations with them after determination of solvency and authenticity

29. Doubtful banknotes and coins are the banknotes which kept more than 50% (fifty percent) of the area of the sizes of banknotes established by National Bank having the damages complicating determination of authenticity of banknotes, and the coins having the damages, distortions complicating determination of authenticity of coins.

30. Doubtful banknotes and coins are accepted by authorized banks and go for examination in branches of National Bank for determination of authenticity and a possibility of exchange.

31. The banknotes and coins recognized as examination by original are subject to exchange for banknotes, valid for the address, and a coin.

32. Cash officials of the branch of the National Bank and authorised banks shall apply grids (Appendices 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13 hereto) when estimating the preserved area of damaged banknotes.

The damaged banknote is located on a grid for the corresponding face value so that its party on which serial numbers are applied was visible, and the remained edges coincided with grid borders. If any of edges of the banknote completely did not remain, then two least damaged edges of the banknote are combined with the lower and right borders of a grid, at the same time its orientation does not matter. The damaged banknote is led round on a contour. The number of cages through which there passed the line of a contour is summarized and halved. To the received number the quantity of the cages of a grid which remained closed when imposing the banknote increases. From the received result a fractional part is excluded. Subject to exchange is considered the banknote in case the received result is 140 (hundred forty) and more cages of a grid.

Cash employees of branch of National Bank use the software allowing to determine the area of the damaged banknote.

Footnote. Paragraph 32 as amended by Resolution of the Board of the National Bank of Kazakhstan № 37 dated 05.07.2024 (shall be effective ten calendar days after the date of its first official publication).

33. The filled grids are stored in separate business of cash desk of branch of National Bank, authorized bank. The grid is signed by the cash worker who filled it and is certified by treasurers of branch of National Bank, authorized bank. In case of need the filled grids are represented by authorized bank upon the demand of branch of National Bank.

34. At a research of the banknote consisting of several fragments (including various face values) it is necessary to consider that they are subject to exchange for suitable for the address (in case they are original) if these fragments kept more than 50% (fifty percent) of the sizes of banknotes established by National Bank, or it is several fragments which are undoubtedly belonging to one banknote which total size is more than 50% (fifty percent) of the banknote size established by National Bank. At the same time quantity of parts on which it is broken off the banknote (is cut), does not matter. In the analysis of fragments lines of a gap (section), watermarks, the graphic representation, the size of fields, serial numbers are taken into account.

35. If the banknote is made of two fragments of various banknotes (including various face values) and the size of each of fragments is more than 50% (fifty percent) of the sizes of banknotes established by National Bank, then each of these fragments is considered as the separate banknote and in case it is original, is subject to exchange for the banknotes suitable for the address.

Chapter 5. List of machine-readable protective signs of banknotes and coins

36. Treat machine-readable protective signs of banknotes:

1) the compliance of the visible image of the banknote to the official description submitted on an official Internet resource of National Bank, checked on both parties of the banknote;

2) presence of ferromagnetic components at a series and number printed with special paint ;

3) compliance of a luminescence of the elements of the image of the banknote under the influence of ultra-violet radiation to the official description specified in the information materials brought by National Bank to authorized banks;

4) lack of a background luminescence of paper of the banknote under the influence of ultra-violet radiation with the wavelength of 250-380 nanometers.

37. The national bank and authorized banks when processing banknotes independently choose not less than 2 (two) machine-readable protective signs specified in Paragraph 36 of Rules.

38. Treat machine-readable protective signs of coins:

Footnote. Appendix 2 - as revised by Resolution of the Board of the National Bank of Kazakhstan № 37 of 05.07.2024 (shall come into effect ten calendar days after the date of its first official publication).

Date of receipt of the banknote: _____

year of issue _____

denomination _____, series number _____, number _____

The area of the banknote is _____ %

_____ (surname, first name, patronymic (if any) (signature)

Cash Manager _____ (surname, first name, patronymic (if any) (signature))

Grid for estimating the paymentability of banknotes of the national currency of the Republic of Kazakhstan with the nominal value of KZT 200

Footnote. Appendix 3 - as revised by Resolution of the Board of the National Bank of Kazakhstan № 37 of 05.07.2024 (shall be enforced upon expiry of ten calendar days after the date of its first official publication).

Date of receipt of the banknote:

year of issue _____

denomination _____, series number _____, number _____

The area of the banknote is _____ %

_____ (surname, first name, patronymic (if any) (signature))

Cash Manager _____ (surname, first name, patronymic (if any) (signature))

Appendix 4 to the Rules for Determining
the Payability of Banknotes and Coins of
the National Currency of the Republic of
Kazakhstan

Grid for assessing the paymentability of banknotes of the national currency of the Republic of Kazakhstan with a face value of KZT 500 issued from 2006 to 2017 inclusive

Footnote. Appendix 4 - as revised by Resolution of the Board of the National Bank of Kazakhstan № 37 of 05.07.2024 (shall be put into effect upon expiration of ten calendar days after the date of its first official publication).

Size 130 x 67 millimetres

200 cells shall correspond to 100% of the banknote value

Date of receipt of the banknote:

Banknote details:

year of issue

denomination, series number, number

The area of the banknote is	%
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Payability determination examined by:

_____ (surname, first name, patronymic (if any)) (signature)

Cash Manager _____ (surname, first name, patronymic (if any) (signature))

Appendix 5 to the Rules for Determining
the Payability of Banknotes and Coins of
the National Currency of the Republic of
Kazakhstan

Grid for estimating the paymentability of banknotes of the national currency of the Republic of Kazakhstan with a face value of KZT 1,000 issued from 2006 to 2014 inclusive

Footnote. Appendix 5 - as revised by Resolution of the Board of the National Bank of Kazakhstan № 37 of 05.07.2024 (shall come into effect ten calendar days after the date of its first official publication).

Size 134 x 70 millimetres

200 cells shall correspond to 100% of the banknote value

Date of receipt of the banknote:

Banknote details:

year of issue

denomination _____, series number _____, number _____

The area of the banknote is	%
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Payability determination examined by:

_____ (surname, first name, patronymic (if any)) (signature)

Cash Manager _____ (surname, first name, patronymic (if any) (signature)

Appendix 6 to the Rules for Determining
the Payability of Banknotes and Coins of
the National Currency of the Republic of
Kazakhstan

Grid for assessing the paymentability of banknotes of the national currency of the Republic of Kazakhstan with the nominal value of KZT 2,000 issued from 2006 to 2012 inclusive

Footnote. Appendix 6 - as revised by Resolution of the Board of the National Bank of Kazakhstan № 37 of 05.07.2024 (shall become effective ten calendar days after the date of its first official publication).

Size 139 x 73 millimetres

200 cells shall correspond to 100% of the banknote value

Date of receipt of the banknote:

Banknote details:

year of issue

denomination, series number, number

The area of the banknote is	%
100	100
90	90
80	80
70	70
60	60
50	50
40	40
30	30
20	20
10	10
0	0

Payability determination examined by:

_____ (surname, first name, patronymic (if any)) (signature)

Cash Manager _____ (surname, first name, patronymic (if any) (signature))

Appendix 7 to the Rules for Determining
the Payability of Banknotes and Coins of
the National Currency of the Republic of
Kazakhstan

Grid for estimating the paymentability of banknotes of the national currency of the Republic of Kazakhstan with a face value of KZT 5,000 issued from 2006 to 2011 inclusive

Footnote. Appendix 7 - as revised by Resolution of the Board of the National Bank of Kazakhstan № 37 of 05.07.2024 (shall be enforced upon expiry of ten calendar days after the date of its first official publication).

Size 144 x 76 millimetres

200 cells shall correspond to 100% of the banknote value

Date of receipt of the banknote:

Banknote details:

year of issue _____

denomination _____, series number____, number _____

The area of the banknote is _____ %

Payability determination examined by:

_____ (surname, first name, patronymic (if any)) (signature)

Cash Manager _____ (surname, first name, patronymic (if any) (signature)

Appendix 8 to the Rules for Determining
the Payability of Banknotes and Coins of
the National Currency of the Republic of
Kazakhstan

Grid for assessing the paymentability of banknotes of the national currency of the Republic of Kazakhstan with the nominal value of KZT 10,000 issued from 2006 to 2016 inclusive

Footnote. Appendix 8 - as revised by Resolution of the Board of the National Bank of Kazakhstan № 37 of 05.07.2024 (shall take effect ten calendar days after the date of its first official publication).

Size 149 x 79 millimetres

200 cells shall correspond to 100% of the banknote value

Date of receipt of the banknote:

Banknote details:

year of issue _____

denomination _____, series number_____, number _____

The area of the banknote is _____ %

Payability determination examined by:

_____ (surname, first name, patronymic (if any) (signature)

Cash Manager _____ (surname, first name, patronymic (if any) (signature)

Appendix 9 to the Rules for Determining
the Payability of Banknotes and Coins of
the National Currency of the Republic of
Kazakhstan

Grid for estimating the paymentability of banknotes of the national currency of the Republic of Kazakhstan with the nominal value of KZT 20,000 issued up to and including the year 2022

Footnote. Appendix 9 - as revised by Resolution of the Board of the National Bank of Kazakhstan № 37 of 05.07.2024 (shall enter into force ten calendar days after the date of its first official publication).

Date of receipt of the banknote: _____

year of issue _____

denomination _____, series number _____, number _____

The area of the banknote is _____ %

_____ (surname, first name, patronymic (if any) (signature)

Cash Manager _____ (surname, first name, patronymic (if any) (signature))

Grid for estimating the paymentability of banknotes of the national currency of the Republic of Kazakhstan with the nominal value of KZT 2,000 issued after 2012

Footnote. The Rules have been supplemented with Appendix 10 under Resolution of the Board of the National Bank of Kazakhstan № 37 of 05.07.2024 (shall be enforced upon expiration of ten calendar days after its first official publication date).

Date of receipt of the banknote:

year of issue _____

denomination _____, series number _____, number _____

The area of the banknote is _____ %

_____ (surname, first name, patronymic (if any) (signature)

Cash Manager _____ (surname, first name, patronymic (if any) (signature))

Appendix 11 to the Rules for Determining
the Payability of Banknotes and Coins of
the National Currency of the Republic of
Kazakhstan

Grid for estimating the paymentability of banknotes of the national currency of the Republic of Kazakhstan with the nominal value of KZT 5,000 issued after 2011

Footnote. The Rules have been supplemented by Appendix 11 pursuant to Resolution of the Board of the National Bank of Kazakhstan № 37 of 05.07.2024 (shall come into effect upon expiration of ten calendar days after its first official publication date).

Size 140 x 70 millimetres

200 cells shall correspond to 100% of the banknote value

Date of receipt of the banknote:

Banknote details:

year of issue

denomination, series number, number

The area of the banknote is _____ %

Payability determination examined by:

_____ (surname, first name, patronymic (if any)) (signature)

Cash Manager _____ (surname, first name, patronymic (if any) (signature))

Appendix 12 to the Rules for Determining
the Payability of Banknotes and Coins of
the National Currency of the Republic of
Kazakhstan

Grid for estimating the paymentability of banknotes of the national currency of the Republic of Kazakhstan with the nominal value of KZT 10,000 issued after 2016

Footnote. The Rules have been supplemented by Appendix 12 in compliance with Resolution of the Board of the National Bank of Kazakhstan № 37 of 05.07.2024 (shall be effective ten calendar days after the date of its first official publication).

Size 145 x 70 millimetres

200 cells shall correspond to 100% of the banknote value

Date of receipt of the banknote:

Banknote details:

year of issue

denomination, series number, number

The area of the banknote is _____ %

Payability determination examined by:

_____ (surname, first name, patronymic (if any)) (signature)

Cash Manager _____ (surname, first name, patronymic (if any) (signature)

Appendix 13 to the Rules for Determining
the Payability of Banknotes and Coins of
the National Currency of the Republic of
Kazakhstan

Grid for estimating the paymentability of banknotes of the national currency of the Republic of Kazakhstan with a face value of KZT 20,000 issued after 2022

Footnote. The Rules have been supplemented by Appendix 13 under Resolution of the Board of the National Bank of Kazakhstan № 37 of 05.07.2024 (shall be enforced upon expiration of ten calendar days after the day of its first official publication).

Size 150 x 70 millimetres

200 cells shall correspond to 100% of the banknote value

Date of receipt of the banknote:

Banknote details:

year of issue

denomination _____, series number _____, number _____

The area of the banknote is _____ %

Payability determination examined by:

_____ (surname, first name, patronymic (if any)) (signature)

Cash Manager _____ (surname, first name, patronymic (if any) (signature))