

On approval of the Rules for accreditation of subjects of scientific and (or) scientific and technical activity

Invalidated Unofficial translation

Decree of the Government of the Republic of Kazakhstan No. 645 dated June 8, 2011.
Abolished by the Decree of the Government of the Republic of Kazakhstan dated August 17, 2023 No. 704

Unofficial translation

A footnote. Abolished by the Decree of the Government of the Republic of Kazakhstan dated August 17, 2023 No. 704 (it is put into effect after ten calendar days after the date of its first official publication).

In accordance with Subparagraph 4) of Article 3 of the Law of the Republic of Kazakhstan dated February 18, 2011 "On Science", the Government of the Republic of Kazakhstan hereby **DECREES AS FOLLOWS:**

1. Approve the attached Rules for accreditation of subjects of scientific and (or) scientific and technical activity.

2. Declare to be no longer in force:

1) Decree of the Government of the Republic of Kazakhstan dated April 30, 2003 No. 421 "On approval of the Rules for accreditation of non-governmental scientific organizations" (Collected Acts of the President and the Government of the Republic of Kazakhstan, 2003, No. 18, Article 188);

2) Decree of the Government of the Republic of Kazakhstan dated May 19, 2003 No. 460 "On approval of the Regulation on certification of scientific organizations" (Collected Acts of the President and the Government of the Republic of Kazakhstan, 2003, No. 20, Article 206);

3) Decree of the Government of the Republic of Kazakhstan dated May 8, 2007 No. 370 "On amendments and additions to the Decree of the Government of the Republic of Kazakhstan dated May 19, 2003 No. 460 (Collected Acts of the President and the Government of the Republic of Kazakhstan, 2007, No. 14, Article 165).

3. This Decree shall be enforced upon expiry of ten calendar days after the first official publication.

The Prime Minister of the Republic of Kazakhstan

K. Massimov

Approved by
the Decree of the Government of
the Republic of Kazakhstan
No. 645 dated June 8, 2011

Rules

for Accreditation of Actors Engaged in Scientific and/or Scientific-Technical Activities

Footnote. The Rules as reworded by Decree No. 834 of the Government of the Republic of Kazakhstan dated 24.11.2021 (shall be enacted ten calendar days after the date of its first official publication).

Chapter. 1. General provisions

1. These Rules for Accreditation of Actors Engaged in Scientific and (or) Scientific-Technical Activities (hereinafter referred to as Rules) are developed as per the Law of the Republic of Kazakhstan “On Science” and establish the procedure of accreditation of actors of scientific and (or) scientific-technical activity (hereinafter referred to as the actors), documents submission for accreditation, their review and issue of certificates on accreditation by the competent authority in the field of science (hereinafter referred to as the competent authority).

2. The following terms shall be used in these Rules:

1) accreditation certificate - a document confirming official recognition by the competent authority of the scientific, scientific and technical activities undertaken by a natural person or legal person, in the form as per Annex 1 hereto;

2) accreditation - a procedure whereby legal persons and natural persons being actors gain official recognition that their activities comply with the requirements and standards laid down by the legislation of the Republic of Kazakhstan.

3. The actors involved shall be:

1) legal persons - organisations engaged in scientific and/or scientific-technical activities;

2) natural persons involved in scientific and (or) scientific-technical activities - scientific, engineering and technical and other employees in labour relations with scientific organisations, organisations of higher and (or) postgraduate education engaged in scientific and (or) scientific-technical activities, as well as scientists independently involved in scientific and (or) scientific-technical activities

4. Organisations, where scientific and technical activities are not the main type of activities, having scientific departments in their structure, with a view to solving the objectives enshrined in their constituent documents, may undergo accreditation by the competent authority to obtain a certificate of accreditation.

5. Actors shall be accredited at their own expense.

6. The competent authority shall compile a database of accredited entities/persons that have received accreditation certificates as well as those that have expired, which shall be made available on the Internet resources of the competent authority.

Chapter 2: Procedures for accreditation

7. Procedure of accreditation of natural and legal persons shall be performed by order of the Minister of Education and Science of the Republic of Kazakhstan No. 196 of May 12, 2020 "On approval of the Rules of Public Service "Accreditation of Actors Engaged in Scientific and (or) Scientific and Technical Activities" (recorded in the Register of State Registration of Regulatory Legal Acts under No. 20626) (hereinafter - Order No. 196).

To obtain accreditation, natural persons shall submit to the competent authority the following documents:

- 1) an application in the form required by Order No. 196;
- 2) copies of identity card, diploma on higher education; master's degree and (or) diploma on awarding an academic degree (Candidate of Sciences, Doctor of Sciences), Doctor of Philosophy (PhD), Doctor in Science and (or) a certificate (diploma) on awarding an academic title of associated professor (Associate Professor) or professor (educational documents issued by foreign educational organisations shall be recognised in the Republic of Kazakhstan under the legislation in the field of education);
- 3) published research articles in the last 5 years: for engineering and science at least one (1) international patent or one (1) article in an international peer-reviewed academic journal with a non-zero impact factor as reported in Clarivate Analytics' Journal Citation Reports, or in publications with a CiteScore of at least 35 in at least one scientific field in the Scopus database; for the social sciences and humanities at least one (1) article in journals with a non-zero impact factor as per Clarivate Analytics' Journal Citation Reports or indexed in the Web of Science Core Collection (Arts and Humanities Citation Index, Science Citation Index Expanded, Social Sciences Citation Index sections), and at least three (3) articles in academic journals recommended by the Quality Assurance Committee in Education and Science of the Ministry of Education and Science of the Republic of Kazakhstan.

When accrediting legal persons, compliance shall be assessed based on a scoring system using a scoring scale (points) of compliance with the conditions for obtaining an accreditation certificate (for legal persons) as per Annex 2 hereto.

The threshold score for passing the accreditation shall be twenty-two (22) points and shall be the sum of the threshold scores for each criterion.

The branches (representative offices) of a legal person shall be accredited as part of the organisation.

8. The accreditation certificate shall be valid for five (5) years.

The accreditation certificate shall be issued:

to a legal person - to the head or an authorised person against signature under a power of attorney;

to a natural person - directly to the applicant or to an authorised person under a notarised power of attorney or to his/her representative, whose powers are executed as per article 68 of the Administrative Procedure Code of the Republic of Kazakhstan.

9. The competent authority shall ensure the production, accounting and storage of accreditation certificate forms.

10. Before the expiry date, the accreditation certificate shall expire in the following cases:

1) reorganisation (in the form of a separation or spin-off) or liquidation of a legal person;

2) the actor's written application for termination of the certificate;

3) death (entry into legal force of a court ruling declaring a person legally incompetent, whereby the person is deprived of the special right to practice a particular occupation) of a natural person who has been granted an accreditation certificate.

Annex 1
to the Rules for Accreditation
of Actors Engaged in Scientific and/or
Scientific-Technical
Activities

Accreditation certificate

The city of Nur-Sultan " _____ " " _____ " 20 ____

In pursuance of Article 23 of the Law of the Republic of Kazakhstan “On Science”

(legal person's name/natural person's full name)

is accredited as the actor of scientific and (or) scientific-technical activities for the period of five years. Certificate shall be issued for participation in the competitions of scientific, scientific-technical projects and programs, financed from the state budget and other sources, not prohibited by the legislation of the Republic of Kazakhstan.

Competent authority

Stamp here

Series _____ No. _____

Annex 2
to the Rules for Accreditation
of Actors Engaged in Scientific and/or
Scientific-Technical
Activities

(Scale of evaluation (points) of eligibility for an accreditation certificate (for legal persons))

No. s/o	Measurable criteria	Indicators	Indicator value, units, Npi,	Score, point, Qi	Threshold score, (Pi(min))	Actual number, units, (Nfi)	Actual score number, (Fi)
1	2	3	4	5	6	7	8

1	Information on staff members engaged in scientific and / o r scientific-technical activities with a doctoral degree, a candidate degree, a Doctor of Philosophy (PhD), a doctorate in the field, the title of associate professor (assistant professor) or professor, and an academic degree of master	1) Doctor of Science (Dr. habil.)	up to 2 units	2	4		
			from 3 or more units	3			
		2) Candidate of Sciences (Research Doctorate)	up to 2 units	2			
			from 3 or more units	3			
		3) Doctor of Philosophy (PhD), Doctorate in the field	up to 2 units	2			
			from 3 or more units	3			
		4) Associate Professor (Senior Lecturer) or Professor	up to 2 units	2			
			from 3 or more units	3			
			up to 2 units	1			
2	Information on the availability of an international and/or national laboratory with appropriate equipment for scientific research (except for t h e humanities, sociological a n d economic sciences, which do not require a laboratory)	1)	1 unit	1	1		
		availability of a research laboratory	from 2 or more units	2			
		2) a certified or approved (accredited) laboratory:					
		on a n international scale	1 unit	2			
			from 2 or more units	3			
		at the national level	1 unit	1			
			from 2 or more units	2			
	Information o n		up to 2 units	1			

3	professional development in the last five (5) years of the employee's career	1) on an international scale	from 3 or more units	2	1 point		
		2) at the national level	up to 2 units	1			
			from 3 or more units	2			
4	Information on the funding of ARW and the implementation of scientific, scientific and technical projects and programmes over the last five (5) years	1) grant financing	1 unit	2	4 points		
			2 or more units	3			
		2) programme-specific funding	1 unit	2			
			2 or more units	3			
		3) grants for the commercialisation of the results of scientific and / or scientific-technical activities	1 unit	2			
			2 or more units	3			
		4) grants from other sources (projects funded from the local budget or international organisations , foundations , etc.))	1 unit	2			
			2 or more units	3			
		5) own funds	1 unit	2			
			2 or more units	3			
		6) other sources (programmes and projects funded by businesses, non-governmental foundations, organisations , etc.)	1 unit	2			
			2 or more units	3			
			1 unit	2			

5	Information on intellectual property for the last five (5) years	1) security documents	2 or more units	3	2 points		
		2) copyrights	1 unit	2			
			2 or more units	3			
		3) international patent, licensing agreements	1 unit	2			
			2 or more units	3			
		1) research articles in international peer-reviewed scientific journals belonging to the 1st, 2nd and 3rd quartile according to Journal Citation Reports of Clarivate Analytics or having a CiteScore of at least 35 in at least one scientific field in the Scopus database, in social sciences and humanities - in journals indexed in the Web of Science Core Collection database (Arts and Humanities Citation Index, Science Citation Index Expanded, Social Sciences	from 2 units	3			
			from 3 or more units	4			

6	Information on scientific papers published in the last five (5) years	Citation Index) of Clarivate Analytics			10 points		
		2) scientific articles in academic journals indexed by the RSCI and other international databases with a non-zero impact factor	from 2 units	2			
			from 3 or more units	3			
		3) scientific articles in academic journals recommended by the QACES MES of the Republic of Kazakhstan	from 3 units	2			
			from 4 or more units	3			
		4) materials from conferences,	from 2 units	2			

		forums, conventions, symposia, congresses	from 3 or more units	3			
		5) monographs, textbooks, manuals, guidance documents	from 2 units	2			
			from 3 or more units	3			
	Total:				22 points		

Note: explanation of abbreviations:

* ARW - academic research work;

* RSCI - Russian Science Citation Index;

* QACES MES - Quality Assurance Committee for Education and Science of the Ministry of Education and Science of the Republic of Kazakhstan.

The threshold for passing the accreditation shall be determined by formula 1:

$$P_{min} = \sum_1^n P_{i(min)}, \quad (1)$$

where Pmin is the threshold point, Pi(min) is the threshold points for the set i criteria, n is the number of criteria, i= 1,2,3,4,5,6.

The thresholds for the criteria shall be calculated by using the following formula 2:

$$P_{i(min)} = N_{pi} \times Q_i, \quad (2)$$

where Npi is the value of the i-th indicator, units, Qi is the score in points.

If the applicant's actual values for the evaluation criteria are higher than the threshold values, the evaluation score shall be increased by 1 point (+1 point) as per formulas 3, 4.

$$P_{i(min+1)} = N_{pi} \times (Q_i + 1), \quad (3)$$

where Pi(min+1) is the overall point score for the i-th indicator (min+1), Npi is the value of the i-th indicator, units, Qi +1 is the score in points.

$$P_{min+1} = \sum_1^n P_{i(min+1)}, \quad (4)$$

where P_{min+1} is the overall point, $P_i(min+1)$ is the points for the set i criteria, n is the number of criteria, $i= 1,2,3,4,5,6$.

© 2012. «Institute of legislation and legal information of the Republic of Kazakhstan» of the Ministry of
Justice of the Republic of Kazakhstan